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MEMC 02-0201 (3035.1)
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: Milind Kulkarni
Serial No.: 10/705,813
Filed: November 10, 2003
Confirmation No.: 5409
For: CRYSTAL PULLER AND METHOD FOR
GROWING A MONOCRYSTALLINE INGOT

Art Unit: 1765

March 31, 2004

COMMISSIONER FOR PATENTS
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ALEXANDRIA, VIRGINIA 22313-1450

SIR:

INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.97 and 1.98 and MPEP 609, and in compliance with the duty of disclosure set forth in 37 C.F.R. 1.56, applicant submits the attached PTO/SB/08A for consideration by the Patent and Trademark Office in the above-entitled application and to be made of record therein. In accordance with the Pre-OG notice of July 11, 2003 partially waiving the requirements of 37 C.F.R. 1.98(a)(2)(i), copies of the U.S. patent documents are not supplied. Applicant submits herewith copies of the foreign patent documents and literature references.

Respectfully submitted,

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PTO/SB/08A				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Application Number	10/705,813
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				First Named Inventor	Milind Kulkarni
				Group Art Unit	1765
				Examiner Name	Not Yet Assigned
Sheet	1	of	4	Attorney Docket No.	MEMC 02-0201 (3035.1)

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY
		Number	Kind Code ² (if known)		
	1	4,597,949		Jasinski et al.	07-01-1986
	2	5,248,378		Oda et al.	09-28-1993
	3	5,567,399		Von Ammon et al.	10-22-1996
	4	5,575,847		Kuramichi et al.	11-19-1996
	5	5,667,584		Takano et al.	09-16-1997
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	7	5,824,152		Kubota et al.	10-20-1998
	8	5,853,480		Kubota et al.	12-29-1998
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	10	5,922,127		Luter et al.	07-13-1999
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	15	5,968,262		Saishouji et al.	10-19-1999
	16	5,968,264		Iida et al.	10-19-1999
Examiner Signature				Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Unique citation designation number. ²See attached Kinds of U.S. Patent Documents. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached or place an "A" here if English language abstract is attached..

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	17	6,007,625		Tomioka et al.	12-28-1999
	18	6,045,610		Park et al.	04-04-2000
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Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T ⁶
		Office	Number ⁴	Kind Code ² (if known)			
	32	EP	0 890 662	A1	Shin-Etsu Handotai Company Ltd.	01-13-1999	

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	33	EP	0 903 427	A1	Shin-Etsu Handotai Co., Ltd.	03-24-1999	
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OTHER ART - NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ⁶
	35	ABE, T., et al., "Etch Pits Observed in Dislocation-Free Silicon Crystals", <i>Japan J. Appl. Phys.</i> , 1966, Pages 458-459, Volume 5	
	36	DASH, W.C., "Growth of Silicon Crystals Free from Dislocations", <i>Journal of Applied Physics</i> , April 1959, Pages 459-474, Volume 30, Number 4, American Institute of Physics	
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	39	FÖLL, H., et al., "The Formation of Swirl Defects in Silicon by Agglomeration of Self-Interstitials", <i>Journal of Crystal Growth</i> , 1977, Pages 90-108, Volume 40, North-Holland Publishing Company	
	40	KULKARNI, M.S., et al., "Dynamics of Point Defects and Formation of Microdefects in Czochralski Crystal Growth: Modeling, Simulation and Experiments", <i>High Purity Silicon VII</i> , Pages 2002-2020, The Electrochemical Society Proceedings Series, Presented at the Pure Silicon Symposium October 2002, Pennington, New Jersey	
	41	MURARKA, S.P., et al., "Behavior of Copper Impurity Atoms in Semiconductors", <i>Copper-Fundamental Mechanisms for Microelectronic Applications</i> , 2000, Pages 25-26, John Wiley & Sons, Inc., New York	
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	47	VIRZI, A., "Computer Modelling of Heat Transfer in Czochralski Silicon Crystal Growth", <i>Journal of Crystal Growth</i> , July 1991, Pages 699-722, Volume 112, Number 4, North-Holland Publishing Company	
	48	VORONKOV, V.V., et al., "Point Defects in Silicon Crystal Growth", <i>ECS Proc.</i> , 2001, Pages 3-18, Volume 2001-29, presented at the DECON Symposium 2001	
	49	VORONKOV, V.V., "The Mechanism of Swirl Defects Formation in Silicon", <i>Journal of Crystal Growth</i> , 1982, Pages 625-643, Volume 59, North-Holland Publishing Company	
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